IOWA DEPARTMENT OF PUBLIC HEALTH

DIVISION OF ACUTE DISEASE PREVENTION AND EMERGENCY RESPONSE

REQUEST FOR PROPOSAL 58814010

Public Health Emergency Preparedness and Hospital Preparedness Program Grants

Healthcare Coalition Preparedness

Project Period: November 1, 2013 – June 30, 2017
Contract Period: November 1, 2013 – June 30, 2014

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Attachments are posted in a separate file on the IDPH Web page under *Funding Opportunities:* www.idph.state.ia.us.

SECTION 1 -- GENERAL AND ADMINISTRATIVE ISSUES

1.01 Purpose

The purpose of this Request for Proposal (RFP) 58814010 is to solicit proposals that will enable the Iowa Department of Public Health (IDPH) to select the most qualified Iowa healthcare coalition applicants to develop, implement, demonstrate, and sustain all applicable capabilities as listed in the following references:

- U.S. Department of Health and Human Services (HHS), Centers for Disease Control and Prevention's (CDC) publication titled *Public Health Preparedness Capabilities: National Standards for State and Local Planning*-March 2011 (Appendix III)

And/or

- HHS, Office of the Assistant Secretary for Preparedness and Response's (ASPR) publication titled *Healthcare Preparedness Capabilities: National Guidance for Healthcare System Preparedness*-January 2012 (Appendix IV)

And

- Conditions established by the Hospital Preparedness Program (HPP) and Public Health Emergency Preparedness (PHEP) cooperative agreements awarded by HHS to IDPH.

1.02 Project Period/Contract Term

The project period shall be from November 1, 2013 to June 30, 2017.

IDPH expects the initial contract period to be an eight (8) month term from November 1, 2013 to June 30, 2014. IDPH shall have the option to renew the contract for up to three (3) additional one (1) year terms subject to review of the continuation application, contractor performance and compliance with the terms and conditions of the contract, and availability of funds.

The issuance of this RFP in no way constitutes a commitment by IDPH to award a contract.

1.03 Eligibility Requirements

Applicants must meet each of the following eligibility requirements for consideration.

Eligible Applicants

The Iowa healthcare coalition fiscal agent is the eligible applicant and must be: a legally formed Iowa healthcare coalition (e.g. 501(c) organization), hospital, Local Board of Health, Board of Supervisors, or other governmental body member of a Iowa healthcare coalition. The geographic boundary of the Iowa healthcare coalition must encompass a **minimum** of one Iowa County.

- **A coalition that did not receive an award for RFP# 58813023.**
- To be awarded Public Health Emergency Preparedness (PHEP) funds, the Iowa healthcare coalition must include a Board of Health within the State of Iowa.
- To be awarded Hospital Preparedness Program (HPP) funds, the Iowa healthcare coalition must include an Iowa hospital.

Entities within a county that participate in an Iowa healthcare coalition must participate in the same Iowa healthcare coalition.

The fiscal agent of the Iowa healthcare coalition will be the contract holder and responsible to:

- Ensure compliance with the provisions of IDPH's General Conditions and Special Conditions.
- Manage contractual funding in collaboration with coalition membership/advisory group.
- Account for and report on expended funds via processes identified by IDPH.
- Ensure funds are used to improve preparedness and response capabilities of the PHEP and/or HPP programs.
- Ensure funding is used in accordance with the scope of the PHEP and/or HPP program(s). IDPH will provide technical assistance to fiscal agents to ensure expenditures are within the scopes of the respective programs.
- Ensure PHEP and HPP funds are not co-mingled.
- Other expectations as outlined in the contract.

<u>Electronic Communication Requirements</u>
Applicant is required to maintain and provide to IDPH, upon application, a current and valid email account for electronic communications with IDPH.

1.04 Service Delivery Area

Service delivery area will include the county(ies) covered by the Iowa healthcare coalition as identified by applicant in **Attachment C-Coalition** Demographics Statement.

1.05 Available Funds

The source of funding for this RFP is through the HPP and PHEP programs cooperative agreement awarded by the U.S. Department of Health and Human Services to IDPH. The applicants may choose to apply for the PHEP and/or HPP portions of the funding based upon eligibility requirements described in **Section 1.03.**

Applicants shall determine the amount of available funding by selecting hospital and local public health coalition membership on the Budget tab of the Action Plan **(Attachment D)**. Funding will automatically populate based on identified coalition membership. This funding reflects the minimum amount of funding available to the Iowa healthcare coalition for the nine-month period.

Applicants must budget for the total amount identified within the Budget tab based on Iowa healthcare coalition membership. Depending on availability of funding, actual awards and individual contract funding levels may vary from that listed or funding may be withdrawn completely.

1.06 Schedule of Events (All times and dates listed are local Iowa time.)

The following dates are set forth for informational purposes. IDPH reserves the right to change them.

EVENT	DATE
RFP 58814010 Issued	Monday, September 16, 2013
Written Questions and Responses	
Round 1 Questions Due Interim Responses Posted By:	Monday, September 23, 2013 Wednesday, September 25, 2013
Final Questions Due Final Cumulative Responses Posted By:	Thursday, October 3, 2013 Monday, October 7, 2013
Proposals Due	Friday, October 18, 2013
Post Notice of Intent to Award	Friday, November 1, 2013

A. RFP 58814010 Issued – Monday, September 16, 2013. IDPH will post the RFP on the IDPH Web page under the *Funding Opportunities* quick link at: www.idph.state.ia.us. IDPH will send a copy of the RFP 58814010 to any person or entity which requests the RFP.

B. Applicant's Conference – An applicant's conference will not be held for RFP 58814010.

C. Written Questions and Responses. Written questions related to the RFP 58814010 must be submitted via email to John McMullen at john.mcmullen@idph.iowa.gov no later than the dates specified in the schedule of events table above.

Questions must be submitted by electronic mail. If the question or comment pertains to a specific section of the RFP, the section and page must be referenced. Oral questions regarding RFP 58814010 will not be accepted.

IDPH will prepare written responses to all pertinent and properly submitted questions and post the written questions and responses on the IDPH Web page. IDPH's written responses will be considered part of the RFP 58814010. Written responses will be prepared and posted according the schedule of events table above.

It is the responsibility of the applicant to check the IDPH Web site periodically for written questions and responses to this RFP 58814010.

D. Proposals Due – Friday, October 18, 2013

Proposals must be **received** by 4:00 p.m. (local Iowa time) on Friday, October 18, 2013 by the IDPH SharePoint Service Contract Application Center in compliance with the following requirements:

- Email proposal documents as a single zipped file **OR** a single PDF, no larger than 20MB, to **applications@idph.iowa.gov.** The preferred submission is a single zipped file.
- The subject line of the email must read "RFP 58814010". Do not include anything else in the subject line of the email.
- The single zipped file or single PDF must be named "RFP 58814010 (insert your agency name)". Example of file name: RFP 58814010 ABC Healthcare Coalition
- Do not include additional information or text in the body of the email as it will not be available to IDPH staff.

Proposals submitted to IDPH in any manner other than through the IDPH SharePoint Service Contract Application Center (e.g. electronic mail to any other address, faxed, hand-delivered, mailed or shipped or courier-service delivered versions) will be rejected, not reviewed by IDPH and a notice will be sent to the applicant. Any information submitted separately from the proposal will not be considered in the review process.

Proposals will be rejected and not reviewed by IDPH for the following reasons:

- If the proposal is received by the IDPH SharePoint Service Contract Application Center after the stated due date and time of 4:00 p.m. Friday, October 18, 2013.
- If the proposal is submitted in any manner other than by email to applications@idph.iowa.gov.
- If the proposal is not submitted as a single zipped file or a single PDF file.

The due date and time (Friday, October 18, 2013 by 4:00 p.m.) requirements for receipt of the proposal by the IDPH SharePoint Service Contract Application Center are mandatory requirements and will not be subject to waiver as a minor deficiency.

The proposal that is attached to the applicant's email is automatically removed from the email upon receipt by the server and is filed in the IDPH SharePoint Service Contract Application Center. The proposal is date and time stamped upon filing in the IDPH SharePoint Service Contract Application Center. The time that is automatically documented on the submitted and filed proposal within the IDPH SharePoint Service Contract Application Center is slightly delayed from the time the email is received by the server, and is not the time that the applicant sent the email. Although the delay is minimal, it may be increased when server traffic is high or other uncontrollable internet traffic circumstances, encryption issues, firewall issues, or server issues, etc.

The date and time stamp by the IDPH SharePoint Service Contract Application Center shall serve as the official time of receipt of the proposal.

It is the applicant's sole responsibility to submit emailed proposals in sufficient time so the proposal is received by the IDPH Service Contract Application Center prior to the stated due date and time. Applicants are strongly encouraged to submit emailed proposals as early as possible to allow sufficient time for any unforeseen issues to be resolved prior to the deadline, if they occur. Proposals received by the IDPH SharePoint Service Contract Application Center after the stated due date and time will be rejected, not reviewed by IDPH and a notice sent to the applicant.

An electronic notification of receipt of the applicant's proposal within the IDPH SharePoint Service Contract Application Center will be generated automatically and emailed to the sender of the emailed proposal. If the electronic notification is not received within ten (10) minutes of the applicant's email, please contact the SharePoint Helpdesk at 1-866-520-8987 to confirm delivery (available prior to 4 PM on Weekdays, excluding State Holidays).

If an applicant emails the proposal multiple times, only the last submission received by the IDPH SharePoint Service Contract Application Center prior to the stated due date and time will be accepted for review.

E. Release of Names of Applicants – Wednesday, October 23, 2013. The names of all applicants who submitted proposals by the deadline shall be released to all who have requested such notification via an email request to John McMullen at john.mcmullen@idph.iowa.gov .

F. Notice of Intent to Award – Friday November 1, 2013. A Notice of Intent to Award the contract(s) will be posted on the IDPH Web page www.idph.state.ia.us under *Funding Opportunities* link by 4:30 pm. Applicants are solely responsible for reviewing the Notice of Intent to Award to determine their award status.

G. Contract Negotiations and Execution of the Contract – Following the posting of the Notice of Intent to Award, the successful applicant(s) will retrieve a contract document through the IDPH SharePoint Service Contract system. The successful applicant has ten (10) working days from date of receipt in which to negotiate and sign a contract with IDPH. If a contract has not been executed within ten (10) working days, IDPH reserves the right to cancel the award and to begin negotiations with the next highest ranked applicant or other entity deemed appropriate by IDPH. IDPH may, at its sole discretion, extend the time period for negotiations of the contract.

1.07 Inquiries

During the period following release of this RFP 58814010 and until the Notice of Intent to Award is posted, applicants should contact only John McMullen in the manner provided for in section 1.06(C). Unauthorized contact regarding this RFP with other state employees may result in disqualification. In no case shall verbal communications override written communications. Only written communications are binding on IDPH.

IDPH assumes no responsibility for representations made by its officers or employees prior to the execution of a legal contract, unless such representations are specifically incorporated into the RFP or the contract.

Any verbal information provided by the applicant shall not be considered part of its proposal.

1.08 Amendments to the RFP

IDPH reserves the right to amend the RFP 58814010 at any time. In the event IDPH decides to amend, add to, or delete any part of this RFP, a written amendment will be posted on the IDPH Web site. The applicant is advised to check the IDPH Web site periodically for amendments to this RFP.

1.09 Open Competition

No attempt shall be made by the applicant to induce any other person or firm to submit or not to submit a proposal for the purpose of restricting competition.

1.10 Withdrawal or Resubmission of Proposals

Proposals may be withdrawn, modified and resubmitted by an applicant at any time prior to the stated due date and time for the receipt of proposals. If an applicant emails the proposal multiple times, only the last submission received by IDPH SharePoint Service Contract Application Center prior to the stated due date and time will be accepted for review. **An**

applicant desiring to withdraw its proposal after submission shall submit notification via email to John McMullen at john.mcmullen@idph.iowa.gov .

1.11 Acceptance of Terms and Conditions

A. An applicant's submission of a proposal constitutes acceptance of the terms, conditions, criteria and requirements set forth in the RFP and operates as a waiver of any and all objections to the contents of the RFP. By submitting a proposal, an applicant agrees that it will not bring any claim or have any cause of action against IDPH or the State of Iowa based on the terms or conditions of the RFP or the procurement process.

B. IDPH reserves the right to accept or reject any exception taken by an applicant to the terms and conditions of this RFP. Should the successful applicant take exception to the terms and conditions required by IDPH, the successful applicant's exceptions may be rejected and IDPH may elect to terminate negotiations with that applicant. However, IDPH may elect to negotiate with the successful applicant regarding contract terms which do not materially alter the substantive requirements of the RFP or the contents of the applicant's proposal.

1.12 Costs of Proposal Preparation

All costs of preparing the proposal are the sole responsibility of the applicant. IDPH is not responsible for any costs incurred by the applicant which are related to the preparation or submission of the proposal or any other activities undertaken by the applicant related in any way to this RFP.

1.13 Multiple Proposals

Only one proposal will be accepted from each applicant.

1.14 Oral Presentation

Applicants may be requested to make an oral presentation of the proposal. The determination of need for presentations, the location, order, and schedule of the presentations is at the sole discretion of IDPH. If an oral presentation is required, applicants may clarify or elaborate on their proposals, but may in no way change their original proposal.

1.15 Rejection of Proposals/Cancellation of the RFP

A. IDPH reserves the right to reject, in whole or in part, any or all proposals, to advertise for new proposals, to arrange to receive or itself perform the services herein, to abandon the need for such services, and to cancel this RFP if it is in the best interests of IDPH.

B. Any proposal will be rejected outright and not evaluated for any of the following reasons:

1. The applicant fails to submit the proposal in sufficient time for receipt by IDPH SharePoint Service Contract Center prior to the stated due date and time or in the manner stated in **section 1.06.**

2. The applicant is not an eligible applicant as defined in **section 1.03**.

C. Any proposal may be rejected outright and not evaluated for any one of the following reasons:

1. The applicant fails to include required information or fails to include sufficient information to determine whether an RFP requirement has been satisfied.

2. The applicant fails to follow the proposal format instructions or presents information requested by this RFP in a format inconsistent with the instructions of the RFP.

3. The applicant provides misleading or inaccurate answers.

4. The applicant states that a mandatory requirement cannot be satisfied.

5. The applicant's response materially changes a mandatory requirement.

6. The applicant's response limits the right of IDPH.

7. The applicant fails to respond to IDPH's request for information, documents, or references.

8. The applicant fails to include any signature, certification, authorization, or stipulation requested by this RFP.

9. The applicant initiates unauthorized contact regarding the RFP with a state employee.

1.16 Restrictions on Gifts and Activities

Iowa Code Chapter 68B contains laws which restrict gifts which may be given or received by state employees and requires certain individuals to disclose information concerning their activities with state government. Applicants are responsible for determining the applicability of this chapter to their activities and for complying with these requirements.

In addition, Iowa Code Chapter 722 provides that it is a felony offense to bribe a public official.

1.17 Use of Subcontractors

A. The applicant is permitted to subcontract for the performance of certain services required under the contract. Subcontracts must adhere to the provisions of Section 5 of the IDPH General Conditions effective January 1, 2013 Revised 1.10.13 as posted on the IDPH Web page at www.idph.state.ia.us under the *Funding Opportunities* link. Planned use of subcontractors by an applicant must be clearly explained in the proposal. This information must include:

1. The name and address of the subcontractor if known;
2. The scope of work to be performed by each subcontractor;
3. Subcontractor qualifications; and
4. The estimated dollar amount of each subcontract.

B. Current individual employees of the State of Iowa may not act as subcontractors under this contract.

C. The applicant is fully responsible for all work performed by subcontractors. No subcontract into which the applicant enters into with respect to performance under the contract will, in any way, relieve the applicant of any responsibility for performance of its duties.

1.18 Reference Checks

IDPH reserves the right to contact any reference to assist in the evaluation of the proposal, to verify information contained in the proposal and to discuss the applicant's qualifications and the qualifications of any subcontractor identified in the proposal.

1.19 Criminal Background Checks

IDPH reserves the right to conduct criminal history and other background investigations into the applicant, its officers, directors, managerial and supervisory personnel, clerical or support personnel, and health care professional personnel retained by the applicant for duties related to the performance of the contract. Such information may be used in determining contract awards. The applicant shall cause all waivers to be executed by appropriate persons to effectuate the investigations.

1.20 Information from Other Sources

IDPH reserves the right to obtain and consider information from other sources concerning an applicant, including the applicant's product or services, personnel, and subcontractors, and the applicant's capability and performance under other IDPH contracts, other state contracts and contracts with private entities. IDPH may use any of this information in evaluating an applicant's proposal.

1.21 Verification of Proposal Contents

IDPH reserves the right to verify the contents of a proposal submitted by an applicant. Misleading or inaccurate responses shall result in rejection of the proposal pursuant to Section 1.15.

1.22 Bid Proposal Clarification Process

IDPH may request clarification from applicants for the purpose of resolving ambiguities or questioning information presented in the proposals. Clarifications may occur throughout the proposal evaluation process. Clarification responses shall be in writing and shall address only the information requested. Responses shall be submitted to IDPH within the time stipulated at the time of the request. An applicant will not be permitted to modify or amend its proposal if contacted by IDPH for this reason.

1.23 Waivers and Variances

IDPH reserves the right to waive or permit cure of non-material variances in the proposal's form and content providing such action is in the best interest of IDPH. In the event IDPH waives or permits cure of nonmaterial variances, such waiver or cure will not modify the RFP requirements or excuse the applicant from full compliance with RFP specifications or other contract requirements if the applicant is awarded the contract. The determination of materiality is in the sole discretion of IDPH.

1.24 Disposition of Proposals

All proposals become the property of IDPH and shall not be returned to the applicant.

If IDPH awards funds to an applicant, the contents of all proposals will be in the public domain at the conclusion of the selection process and will be open to inspection by interested parties subject to exceptions provided in Iowa Code Chapter 22 or other provision of law.

1.25 Public Records

All information submitted by an applicant will be treated as public information following the conclusion of the selection process unless the applicant properly requests that information be treated as confidential at the time the proposal is submitted.

Any request for confidential treatment of information must be included in the transmittal letter with the applicant's proposal. In addition, the applicant must enumerate the specific grounds in Iowa Code Chapter 22 which support treatment of the material as confidential. The request for confidential treatment of information must also include the name, address, and telephone number of the person authorized by the applicant to respond to any inquiries by IDPH concerning the confidential status of the materials.

Any proposal submitted which contains confidential information must be conspicuously marked as containing confidential information and must indicate which sections of the proposal should be treated as confidential. Identification of the entire proposal as confidential shall be deemed non-responsive and shall disqualify the applicant. A Proposal containing confidential information must be emailed as a single zipped file or PDF to applications@idph.iowa.gov by 4:00 p.m. (local Iowa time) on Friday, October 18, 2013. The subject line of the email must read RFP 58814010. The single zipped file or PDF must be named "RFP 58814010 (insert your agency name) Confidential".

The applicant must submit one copy of the proposal from which the confidential information had been excised. The confidential material must be excised in such a way as to allow the public to determine the general nature of the material removed and to retain as much of the proposal as possible. A proposal from which the confidential information had been excised must be emailed as a single zipped file or PDF to applications@idph.iowa.gov by 4:00 p.m. (local time) on Friday, October 18, 2013. The subject line of the email must read RFP 58814010. The single zipped file or PDF must be named "RFP 58814010 (insert your agency name)".

In the event IDPH receives a public request for RFP information marked confidential, written notice shall be given to the applicant seventy-two (72) hours prior to the release of the information to allow the applicant to seek injunctive relief pursuant to Iowa Code Section 22.8. The information marked confidential shall be treated as confidential information to the extent such information is determined confidential under Iowa Code Chapter 22 or other provisions of law by a court of competent jurisdiction.

The applicant's failure to request confidential treatment of material pursuant to this section and the relevant law will be deemed by IDPH as a waiver of any right to confidentiality which the applicant may have had.

1.26 Copyrights

By submitting a proposal, the applicant agrees that IDPH may copy the proposal for the purpose of facilitating the evaluation of the proposal or to respond to requests for public records. By submitting the proposal, the applicant consents to such copying and warrants and represents that such copying will not violate the rights of any third party. IDPH shall have the right to use ideas or adaptations of ideas that are presented in the proposals. In the event the applicant copyrights its proposal, the department may reject the proposal as noncompliant.

1.27 Appeal of Rejection Decision

The applicant's receipt of a rejection letter constitutes receipt of notification of the adverse decision per 641Iowa Administrative Code Chapter 176.8(1). Applicants may appeal the adverse decision only for a timely submitted application. The appeal shall be submitted in writing within ten business days of receipt of notification of the adverse decision. Appeals shall be submitted in writing, to John McMullen, Contract Administrator, Division of Administration and Professional Licensure, Iowa Department of Public Health, Lucas State Office Building, Des Moines, Iowa 50319-0075. Appeals must clearly and fully identify all issues being contested and demonstrate what procedures in the proposal were not followed. In the event of an appeal, the Department will continue working with the successful applicant pending the outcome of the appeal.

1.28 Appeal of Award Decision

The posting of the Notice of Intent to Award on the IDPH Web page constitutes receipt of notification of the adverse decision per 641Iowa Administrative Code Chapter 176.8(1). Applicants may appeal the adverse decision only for a timely submitted application. The appeal shall be submitted in writing within ten business days of receipt of notification of the adverse decision. Appeals shall be submitted in writing, return receipt requested, to John McMullen, Contract Administrator, Division of Administration and Professional Licensure, Iowa Department of Public Health, Lucas State Office Building, Des Moines, Iowa 50319-0075. Appeals must clearly and fully identify all issues being contested and demonstrate what procedures in the proposal were not followed. In the event of an appeal, the Department will continue working with the successful applicant pending the outcome of the appeal.

1.29 Definition of Contract

The full execution of a written contract by both parties shall constitute the making of a contract for services and no applicant shall acquire any legal or equitable rights relative to the contract until the contract has been fully executed by the successful applicant and the IDPH.

1.30 Construction of RFP

This RFP shall be construed in light of pertinent legal requirements and the laws of the State of Iowa. Changes in applicable statutes and rules may affect the award process or the resulting contract. Applicants are responsible for ascertaining the relevant legal requirements.

SECTION 2 – DESCRIPTION OF SERVICES

2.01 Description of Work and Services

PHEP Works and Services

Iowa healthcare coalitions that contain a minimum of one (1) Local Board of Health within the State of Iowa and are applying for PHEP funding must demonstrate the ability to implement the Public Health capabilities listed below for public health preparedness, response, and recovery as defined in the following reference publication:

U.S. Department of Health and Human Services (HHS), Centers for Disease Control and Prevention's (CDC) publication titled *Public Health Preparedness Capabilities: National Standards for State and Local Planning*-March 2011. **(Appendix III):**

- Capability 1: Community Preparedness
- Capability 2: Community Recovery
- Capability 3: Emergency Operations Coordination
- Capability 4: Emergency Public Information and Warning
- Capability 5: Fatality Management
- Capability 6: Information Sharing
- Capability 7: Mass Care
- Capability 8: Medical Countermeasure Dispensing
- Capability 9: Medical Materiel Management and Distribution
- Capability 10: Medical Surge
- Capability 11: Non-Pharmaceutical Interventions
- Capability 13: Public Health Surveillance and Epidemiological Investigation
- Capability 14: Responder Safety and Health
- Capability 15: Volunteer Management

Year 1 (November 1, 2013 – June 30, 2014)

- The successful applicants will demonstrate a minimum of two (2) capabilities through completion of drills, functional exercises, full-scale exercises and/or real world events.
  - Capability 1- Community Preparedness.
  - The successful applicants will choose a second PHEP capability to demonstrate.
- The successful applicants may build or sustain any PHEP capability in addition to the requirements listed above.

Year 2 – 4 (July 1, 2014 – June 30, 2017)

- The successful applicants will demonstrate a minimum of four (4) capabilities each year through completion of drills, functional exercises, full-scale exercises and/or real world events in years two (2) through four (4).
  - The successful applicants will choose which PHEP capabilities to demonstrate in each year.
  - By the end of the project period, all fourteen (14) public health preparedness capabilities identified above must be fully demonstrated through completion of drills, functional exercises, full-scale exercises and/or real world events.
- The successful applicants may build or sustain any PHEP capability in each year.

Additional PHEP Requirements

- The successful applicants will maintain National Incident Management System (NIMS) compliancy.
    - All local public health agencies within the Iowa healthcare coalition must maintain NIMS compliance through completion/maintenance of NIMS training record, NIMSCAST tool and submission of NIMS compliance statement to Iowa healthcare coalition fiscal agent.
    - The Iowa healthcare coalition fiscal agent must maintain NIMS compliance through completion/maintenance of NIMS training record and NIMSCAST tool.
    - The Iowa healthcare coalition fiscal agent must maintain and submit documentation to IDPH verifying NIMS compliance of the local public health agency(ies) within the coalition.
- All Iowa healthcare coalition hospital and local public health members are expected to:
    - Participate in HAN performance measure tests.
    - Respond to monthly tests of the 800MHz radio system.
- The successful applicants will assure completion of reports as detailed in **section 2.05**

PHEP funding may be used to sustain all the PHEP capabilities throughout the project period.

AND/OR

HPP Work and Services

Iowa healthcare coalitions that contains a minimum of one (1) hospital and are applying for HPP funding will demonstrate the ability to implement the capabilities listed below for healthcare preparedness, response, and recovery as defined in the following reference publication:

> HHS, Office of the Assistant Secretary for Preparedness and Response's (ASPR) publication titled *Healthcare Preparedness Capabilities: National Guidance for Healthcare System Preparedness*-January 2012. **(Appendix IV).**
>
> - Capability 1: Healthcare System Preparedness
> - Capability 2: Healthcare System Recovery
> - Capability 3: Emergency Operations Coordination
> - Capability 5: Fatality Management
> - Capability 6: Information Sharing
> - Capability 10: Medical Surge
> - Capability 14: Responder Safety and Health
> - Capability 15: Volunteer Management

Year 1 (November 1, 2013 – June 30, 2014)

- The successful applicants will demonstrate a minimum of two (2) capabilities through completion of drills, functional exercises, full-scale exercises and/or real world events.
    - Capability 1: Healthcare System Preparedness.
    - The successful applicants will select a second HPP capability to demonstrate.

- The successful applicants may build or sustain any HPP capability in addition to the requirements listed above.

Year 2 – 4 (July 1, 2014 – June 30, 2017)

- The successful applicants will demonstrate a minimum of two (2) capabilities each year through completion of drills, functional exercises, full-scale exercises and/or real world events in years two (2) through four (4).
    - The successful applicants will choose which HPP capabilities to demonstrate in each year.
    - By the end of the project period, all eight (8) healthcare system preparedness capabilities identified above must be fully demonstrated through completion of drills, functional exercises, full-scale exercises and/or real world events.
- The successful applicants may build or sustain HPP capabilities in each year.

Additional HPP requirements:

- The successful applicants will maintain National Incident Management System (NIMS) compliancy.
    - All hospitals within the Iowa healthcare coalition must maintain NIMS compliance through completion/maintenance of NIMS training record, NIMSCAST tool and submission of NIMS compliance statement to Iowa healthcare coalition fiscal agent.
    - The Iowa healthcare coalition fiscal agent must maintain NIMS compliance through completion/maintenance of NIMS training record and NIMSCAST tool.
    - The Iowa healthcare coalition fiscal agent must maintain and submit documentation to IDPH verifying NIMS compliance of the hospitals within the coalition.
- All Iowa healthcare coalition hospital and local public health members are expected to:
    - Participate in HAN performance measure tests.
    - Respond to monthly tests of the 800MHz radio system.
- All Iowa healthcare coalition hospital members are expected to:
    - Respond to IDPH bed capacity requests.
- The successful applicants will assure completion of reports as detailed in **section 2.05**

HPP funding may be used to sustain all the HPP capabilities throughout the project period.

2.02 Objectives

The successful applicants will demonstrate all capabilities associated with the selected funding source by the end of the project period.

2.03 Staffing or Personnel Requirements

The Iowa healthcare coalition fiscal agent shall provide the staffing necessary to administer and complete the terms of the contract.

2.04 Budget

A line-item budget is required to reflect the projected costs for the contract period. Refer to **Section 3** for further budget instructions.

A ten (10) percent match is required by the successful Iowa healthcare coalition for all funds awarded through this RFP. The match can be met with in-kind or local funds from any coalition member. Federal funds cannot be used as match. Costs used to satisfy match are subject to the same policies governing non-match costs. Match costs must be met by the end of the contract period.

Department will withhold ten (10) percent of each month's invoice pending Contractor's reporting of the required match for reported contract expenditures. Funds will be released as match requirement is met.

The applicants shall list in the Action Plan on the Budget tab **(Attachment D)** projected costs associated with activities documented in the action plan **(Attachment D).**

2.05 Required Reporting

Reports listed below, as well as the due date and method of submission, are subject to change at the sole discretion of IDPH.

Program Reports
The successful applicants shall be expected to prepare and submit the following reports on templates provided by IDPH.

Report	Method of Submission	Date Due
FY2013-14 NIMS Compliance Statement	**1 electronic copy uploaded to the Contractor's SharePoint Service Contract Site**	**no later than June 30, 2014**
After Action Report required for each capability demonstrated	**1 electronic copy uploaded to the Contractor's SharePoint Service Contract Site**	**no later than June 30, 2014**
Mid-Year Report - HPP	**to be determined**	**date to be determined by Department based upon federal guidance, but anticipated no later than April 30, 2014**
Mid-Year Report - PHEP	**to be determined**	**date to be determined by Department based upon federal guidance, but**

		anticipated no later than April 30, 2014
End-of-Year Report - HPP	**to be determined**	**date to be determined by Department based upon federal guidance, but no later than June 30, 2014**
End-of-Year Report - PHEP	**to be determined**	**date to be determined by Department based upon federal guidance, but no later than June 30, 2014**
Capabilities Planning Guides – HPP	**1 electronic copy uploaded to the Contractor's SharePoint Service Contract Site**	**date to be determined by Department based upon federal guidance, but no later than June 30, 2014**
Capabilities Planning Guides - PHEP	**1 electronic copy uploaded to the Contractor's SharePoint Service Contract Site**	**date to be determined by Department based upon federal guidance, but no later than June 30, 2014**
Performance Measure Survey – HPP	**to be determined**	**date to be determined by Department based upon federal guidance, but no later than June 30, 2014**
Performance Measure Survey - PHEP	**to be determined**	**date to be determined by Department based upon federal guidance, but no later than June 30, 2014**
Five-Year Exercise and Training Plan	**1 electronic copy uploaded to the Contractor's SharePoint Service Contract Site**	**No later than March 31, 2014**
Additional reports may be required by Department	**to be determined**	**date(s) to be determined by Department**

Expense Reports:
The successful applicants shall prepare a monthly expense report in an Electronic Expenditure Workbook (EEW).

Expense Report	Date Due
Monthly Electronic Expenditure Workbook (EEW)	Submit expenses within 30 days of month of expenditure

Performance Measures

Successful applicant's contracts may include the following performance measure(s). Contract Performance Measures are subject to change at the sole discretion of IDPH.

IDPH will withhold ten (10) percent of the total contract award pending the receipt and IDPH approval of demonstration documents evidencing demonstration of the capabilities identified in approved action plan. Demonstration documents will be provided by the IDPH.

This performance measure may be changed in the final contract and the performance measure may change in future year's contracts.

SECTION 3 -- PROPOSAL FORMAT AND CONTENT

These instructions prescribe the format and content of the proposal and are designed to facilitate the submission of a proposal that is easy to understand, review, and evaluate.

3.01 Technical Requirements

Submitted proposals must meet the following formatting and technical requirements:

A. Proposal documents must be submitted in a single zipped file (preferred) or single PDF file format, no larger than 20 MB, and emailed per the instructions outlined in section 1.06 E.

B. Shortcuts or links to proposal documents will not be accepted and shall result in document rejection, which may result in rejection of the proposal.

C. Proposals must be typewritten and follow the format delineated herein.

Aspect	Requirement
Format	Documents must be created in MS Office Word or Excel. MS Office 97-2003 format is the minimum product accepted.
Length	There is no page limit for the narrative sections.
Font size	Proposal must be in an easily read font size. Smaller fonts may be used for tables, figures or maps.
Margins	Required forms contain preset margins which may not be changed.
Spacing	May be single or double-spaced.
Signatures on Cover Page	The cover page (page 1 of Attachment A) must be complete and contain an electronic signature by an individual authorized to legally obligate the applicant agency.
Minority Impact Statement	The minority impact statement must be complete and contain an electronic signature by an individual authorized by the applicant agency.
Proposal content	Failure to adhere to prescribed instructions, technical requirements (section 3.01), format, or proposal content (section 3.02) may result in disqualification (rejection) of the proposal.

D. Do not submit promotional materials. Promotional materials or items other than required by this RFP will not be considered during the review process.

E. Any information or materials submitted separately from the proposal will not be considered in the review process.

3.02 Proposal Content

Submitted proposals must contain the following items and be prepared by the applicant in compliance with these instructions, meeting all minimum and eligibility requirements outlined in this RFP.

A. Cover Page: Must be fully complete and signed. Identifies the applicant's legal name, federal identification number, and key contact information for the project. Applicant must complete required form-**Attachment A** following these instructions:

- Applicant - Provide the legal name of the applicant entity. This must be the entity associated with the Federal Identification (ID) number per the Internal Revenue Service (IRS). If the entity operates under another name as a "d/b/a" (doing business as), please include that in the legal name. Provide the applicants federal identification number. Provide the applicant's address, telephone and FAX number as requested in the first section of **Attachment A**.
- Total Funds Requested – Indicate the total amount of funds requested by Iowa healthcare coalition, not to exceed total available funding through selection of hospital and local public health coalition membership on the budget tab of the action plan **(Attachment D).**
- Conditions/Signature – The person authorized to execute legal documents on behalf of the entity must date and insert an electronic signature to certify that the applicant is in agreement with the conditions listed.
    - According to the definition outlined in Iowa Code 554D.103 and U.S. Code (http://www.gpo.gov/fdsys/pkg/PLAW-106publ229/pdf/PLAW-106publ229.pdf) defines an electronic signature as "an electronic sound, symbol, or process, attached to or logically associated with a contract or other record and executed or adopted by a person with the intent to sign the record." An applicant may insert an electronically scanned signature, a digital signature, or a typed name, symbol, etc. in compliance with this definition for the electronic signature.
- Key Personnel for this Proposal – Provide information for fiscal agency personnel associated with this proposal. Include the information in the table provided on page 2 of the Cover Page **(Attachment A).**
    - Executive Director Name and email address – Complete and provide the name of the person who has overall responsibility and authority for administering the program in which the entity is applying for the funds.
    - Program Administrator Name and email address– Complete the table by providing the name of the individual with direct day-to-day responsibility for this program and the person that IDPH may contact concerning the proposal.
    - Fiscal Director Name and email address – Complete the table by providing the name of the individual with overall responsibility and authority for financial management for this program.

B. Minority Impact Statement: Must be fully complete and signed. Identifies the applicant's potential impact of the project's proposed programs or policies on minority groups. Applicant must complete required form – **Attachment B** following these instructions:

- Applicants must independently complete the "Minority Impact Statement" form by checking the box that most accurately reflects the proposed project programs or policies impact on minority persons.

- Describe the rationale or evidence for your choice in a brief narrative, as well as identifying the specific minority groups in which there is a positive or negative impact (if applicable) on the checklist.
- Document must be electronically signed by a person authorized by the applicant agency and return it with the proposal.

C. Applicant's Coalition Demographics Statement: Using **Attachment C**, applicant shall describe each of the items listed below:

- Iowa healthcare coalition name;
- County or counties that form the geographical boundaries of the Iowa healthcare coalition;
- County/District Boards of Health Membership; (entities not individuals)
- Hospital(s) Membership; (entities not individuals)
- Other Iowa healthcare coalition members. (entities not individuals)

D. Action Plan. Using the Action Plan **(Attachment D)** provided, applicants will complete a four (4)-year timeline for demonstration and building/sustaining all of the PHEP and/or HPP Capabilities, complete the Budget tab, complete the Additional Requirements tab, and complete the individual capabilities tabs (PHEP and/or HPP) which the applicant must provide details on every specific capability. Detailed instructions for completing the Action Plan **(Attachment D)** are included on the first tab of the Action Plan **(Attachment D).** The capabilities proposed for demonstration or build/sustain for years two through four of the project period may be revised in subsequent annual applications.

Using the Budget tab provided in the Action Plan **(Attachment D)**, applicants shall document estimated expenses associated with each activity addressed in the individual capabilities tabs of the Action Plan **(Attachment D)**.

In the event the proposal is funded, proposed subcontracted services with a value of $2,000 or greater must be defined in a legal agreement, submitted to and approved by IDPH prior to signature by either party. (Per Section 5 of the IDPH General Conditions)

E. W-9: Attachment F (Optional)
If applicant is not a current contractor with IDPH, include a completed W-9 form. The applicant can either use the W-9 template provided in **Attachment E** or submit their own.

3.03 Budget

The Budget tab in the Action Plan **(Attachment D)** must be completed detailing the costs of the project.

The applicant will submit a line item budget of anticipated direct project costs for the Contract Period of November 1, 2013 through June 30, 2014. The budget shall include only the line item categories listed below and comply with the guidelines as outlined in Section 2.04 of this RFP. The applicant shall complete the budget tab in the Action Plan **Attachment D** identifying proposed expenditures:

- Salary/Fringe Benefits;
- Contractual/subcontracts;
- Equipment;
- Other;
- Administrative or Indirect Costs.

1. Salary/Fringe Benefits:

Consider all staff directly funded, wholly or partially with these funds. Amounts may include the total annual salary and fringe benefits.

2. Contractual/subcontracts:

The applicant is permitted to subcontract for the performance of certain services. Refer to **Section 1.17** of this RFP and Section 5 of the IDPH General Conditions for subcontract provisions and requirements. If services performed for any activities outlined in this RFP are to be subcontracted, the applicant must include:

a. The name and address of the subcontractor if known;

b. The scope of work to be performed by each subcontractor;

c. Subcontractor qualifications; and

d. The estimated dollar amount of each subcontract.

3. Equipment:

List the amount of any equipment anticipated to be purchased with these funds. Equipment is defined as any single item with a cost or value of $5,000 or more and with an anticipated useful life of one year or more. If the item does not meet this definition, it should be included in “other” costs.

4. Other:

All other anticipated expenses using funds must be listed on the line item budget. This category includes: office supplies, educational supplies, project supplies, communication, rent, utilities, training, information technology-related expenses, travel, etc. This will include any equipment costs not meeting the above definition for Equipment.

Travel:
IDPH will not reimburse travel amounts in excess of limits established by Iowa Department of Administrative Services, State Accounting Enterprise. Current in-state maximum allowable amounts are:

Food- $8.00/breakfast, $12.00/lunch, $23.00/dinner
Lodging- Maximum $83.00 plus taxes per night
Mileage- Maximum of $0.39 per mile.

5. Administrative Costs or Federally Approved Indirect Rate

If the applicant plans to charge administrative or indirect costs, this must be identified in the proposal.

If the applicant charges indirect costs, a copy of the current, signed federally approved indirect cost rate agreement must be submitted with the proposal. Applicants may charge an Indirect Rate in accordance with their federally approved Indirect Cost Rate Agreement. IDPH reserves the right to negotiate the application of the Indirect Rate per individual contract.

In the absence of a federally approved Indirect Cost Rate Agreement, the applicant may charge an Administrative Cost not to exceed a maximum rate of 15% of the total amount applied for.

Administrative costs are those that are incurred for common or joint objectives, and therefore cannot be identified readily and specifically with a sponsored program, but are nevertheless necessary to the operations of the organization. For example, the costs of operating and maintaining facilities, depreciation and administrative salaries are generally treated as indirect/administrative costs.

A cost may not be allocated to this grant as an indirect cost, if any other cost incurred for the same purpose, in like circumstances, has been assigned to this grant as a direct cost.

The applicant shall maintain documentation to support the administrative cost allocation. IDPH reserves the right to request the documentation at any time.

A 10% match from local and private sources is required for these grant funds. All activities and/or funding used to satisfy the matching requirements must be reported in the EEW. All supporting documentation of activities and/or funding utilized to satisfy the matching requirements must be maintained by the Contractor in accordance with the General Conditions. The match may include in-kind services, office support, other tangible support, or offset of costs of state funding unless specifically prohibited by the grantor. Funds from other federal grants cannot be used as match. Matching funds must meet the following criteria:

a. Match must be verifiable from the Contractor's records;
b. Match must not be reported as contributions for any other project or program;
c. Match must be allowable, allocable and reasonable under the applicable cost principles; and must conform to other provisions of Code of Federal Regulations as applicable;
d. Must be for the specific benefit for emergency preparedness
e. Match is subject to audits and financial review;
f. Match will be calculated as 10% of the reported year-to-date expenditures.

3.04 Business Organization Information

A Business Organization Form must be fully completed and up-to-date within the contractor's SharePoint Service Contract site.

If the applicant is a current contractor with IDPH and already has an established SharePoint site for contract management with IDPH, then the applicant is responsible to verify the information included in the Business Organization form is **current and uploaded** in their respective Business Organization folder within their SharePoint Service Contract site. If any updates are needed to the Business Organization Form or supporting information, the applicant must complete these prior to proposal submission on SharePoint. **Applicant shall provide a statement in the proposal that the Business Organization form has been reviewed and is accurate.**

If the applicant is a **new** potential contractor with IDPH and does not currently have access to an IDPH established SharePoint site for service contract management, then the Business Organization Form (**Attachment F**) and any supporting information required in this section shall be electronically attached in a PDF as a Business Organization Appendix to the proposal being submitted.

Follow these instructions to complete the Business Organization Form as necessary:

Item	Field	Description
	Agency Name	Provide the name of the agency applying.
	Date	Provide the date the form is completed.
Structure		Identify and describe the legal structure of the applicant. (e.g. corporation, 501(c)3, county government).
	Evidence of authorization to do business in Iowa	Check the type of organization of the applicant agency. If the agency marks "Other"; then the applicant must be currently registered with the Iowa Secretary of State's office to do business in Iowa or agrees to register if

		applicant is awarded a contract.
Organization History	History	Provide a brief history of the agency.
	Mission Statement	Include the agency's mission statement.
	Vision Statement	Include the agency's vision statement.
Table of Organization	Is there a <u>current</u> table of organization uploaded in the SharePoint Business Organization document library?	In "no", include a table of organization for the applicant agency as part of the proposal appendices.
Disclosure of Litigation	Is there any litigation, administrative, or regulatory proceedings pending or threatened against your agency or subcontractor?	Answer "no" or "yes" as to whether the applicant has any pending or threatened litigation, administrative or regulatory proceedings or similar matters which could affect the applicant's ability to perform required services. If "yes", list and summarize any pending or threatened litigation, administrative, or regulatory proceedings or similar matters which could affect the applicant's ability to perform required services.
Disclosure of Contract Default	Has your agency or a subcontractor defaulted on a contract?	Answer "no" or "yes" as to whether the **applicant or any subcontractor** identified within the proposal has defaulted on a contract within the preceding three years. If "yes", list all applicable contracts and subcontracts, including the name(s) of a contact person and phone number for the other party(s) holding the contract defaulted upon, and provide a brief description of the incident(s). Add rows if needed.
Disclosure of Contract Termination	Has your agency or subcontractor terminated a contract?	Answer "no" or "yes" as to whether the applicant or any subcontractor identified within the proposal has terminated a contract with another party prior to its full term within the preceding three years. If "yes", list all applicable contracts and subcontracts, the name(s) of a contact person and phone number for the other party(s) holding the contract that was terminated, and provide a brief description of the incident. Add rows if needed.
	Has your agency or a subcontractor had a contract terminated?	Answer "no" or "yes" as to whether the applicant or any subcontractor identified within the proposal has had a contract terminated by another party prior to its full

		term within the preceding three years. If “yes”, list all applicable contracts and subcontracts, the name(s) of a contact person and phone number for the other party(s) that terminated the contract, and provide a brief description of the incident. Add rows if needed.
Audited financial statement	1. Does the agency currently hold a contract with IDPH? 2. Has the agency submitted audit reports (or operating statement if non-profit organization) to IDPH for the preceding three year period? 3. Does the agency need to submit an audited financial statement with this proposal?	Check “yes” or “no” regarding whether the applicant agency currently holds a contract with IDPH. If “yes”, go to #2. If “no”, go to #3. Check “yes” or “no” regarding whether the applicant agency has previously submitted audit reports (or operating statement if applicant is nonprofit corporation) for the preceding three year period. If “yes”, no additional information is needed. Any applicant agency that currently holds a contract with the department and has previously submitted a current audit report need not submit a copy with this proposal. If “no”, go to #3. If the agency responds “no” to either #1 or #2, then yes, the agency must provide an audited financial statement for the preceding three year period within the proposal appendices. Nonprofit corporations whose previous funding level has not required an audited financial statement **must** submit a year-end operating statement and balance sheet for the preceding three year period and a current operating statement in lieu thereof.

3.05 Litigation or Investigation

The applicant shall list and summarize pending or threatened litigation, administrative, or regulatory proceedings or similar matters which could affect the ability of the applicant to perform the required services. Failure to disclose such matters may result in rejection of the proposal or in termination of any subsequent contract. This is a continuing disclosure requirement. Any such matter commencing after submission of a proposal must be disclosed in a timely manner in a written statement to the IDPH.

3.06 Proposal Checklist

Applicant is not required to submit a checklist with the proposal. Refer to the following table for the list of items which must be included in the proposal.

	Attachment A – Cover Page and Key Personnel
	Attachment B – Minority Impact Statement
	Attachment C – Coalition Demographics Statement
	Attachment D – Action Plan (includes Budget tab)
	Attachment E – W9 form (only necessary for entities not currently contractors of IDPH).
	Attachment F – Business Organization Form (Update in SharePoint if currently a contractor)

SECTION 4 – PROPOSAL REVIEW PROCESS AND CRITERIA

4.01 Overview of Review Process

Review/evaluation of proposals submitted under this RFP will be conducted in three phases.

Phase I -- Technical Review: The first phase will involve a preliminary review by the IDPH staff of an applicant's compliance with the mandatory requirements, including technical requirements (section 3.01) and proposal content (section 3.02), for submitted proposals. Proposals which fail to satisfy technical requirements or proposal content may be eliminated from the proposal review. These proposals may be rejected and will not be returned to the applicant. IDPH will notify the applicant of a rejection that occurs during Phase I of the review process. The IDPH reserves the right to waive minor variances at the sole discretion of the IDPH.

Phase II – Review Committee: Proposals determined to be compliant with technical requirements and proposal content will be accepted for the second phase of evaluation, which shall be completed by a review committee or committees established by the IDPH. The membership of the review committee(s) shall be determined by the bureau chief with input and oversight from the respective division director. The review committee(s) shall evaluate proposals in accordance with a point system. Each committee member will review the proposals and the evaluation criteria outlined in this chapter and assign a point total for each criterion. If an applicant is requested to make an oral presentation of the proposal pursuant to RFP **Section 1.14**, the committee members may consider the oral presentation of the applicant in determining the points awarded.

The total score awarded by each committee member will be averaged to arrive at the final score (rounded to the nearest whole number) for each proposal and the proposals will then be ranked based on the average of the evaluation scores. IDPH staff may solicit additional input and recommendations from the review committee(s).

In the event competitive proposals receive an equal number of points two division directors and the respective bureau chief administering the program may conduct a second review utilizing the same scoring process.

Phase III -- IDPH Review and Award: The third phase will be a final review. The IDPH will consider the submitted proposals and the review committee's scores and recommendations.

The IDPH may also consider geographical distribution, budget information, any information received pursuant to **Sections 1.18 - 1.22** of the RFP, and any other information received pursuant to the procurement process. IDPH reserves the right not to award the contract to the applicant with the highest point average.

4.02 Scoring of Proposals

A maximum of 100 points may be awarded to each proposal. A minimum average score of 60 or greater is required for the proposal to be considered for funding. Proposals scoring less than the minimum average score will be rejected.

Accepted proposals will be evaluated based on the following criteria:

A. All parts of each section are included and addressed;

B. Descriptions and detail are clear, organized and understandable;

C. Descriptions are responsive to the intent of the RFP objectives;

D. The overall ability of the applicant, as judged by the evaluation committee, to successfully complete the project within the proposed schedule. This judgment will be based upon factors such as budget, project management plan and availability of staff.

Points will be assigned by the evaluation committee based on the following scale:

5 Applicant's proposal or capability is exceptional and exceeds expectations for this criterion.

4 Applicant's proposal or capability is superior and slightly exceeds expectations for this criterion.

3 Applicant's proposal or capability is satisfactory and meets expectations for this criterion.

2 Applicant's proposal or capability is unsatisfactory and contains numerous deficiencies for this criterion.

1 Applicant's proposal or capability is not acceptable or applicable for this criterion.

The maximum points to be awarded for each proposal section are as follows:

Proposal Component	**Weight**	**Potential Maximum Score**
Cover Page	--	Required
Minority Impact Statement	--	Required
Timeline Tab (included in the Action Plan)	3	15
Capability 1 Tabs (HPP and PHEP as applicable) (included in the Action Plan)	6	30
Other Capability Tabs to be demonstrated in 2013-2014 (included in the Action Plan)	5	25
Other Capability Tabs to be built or sustained in 2013-2014 (included in the Action Plan)	4	20
Budget Tab (included in the Action Plan Workbook)	2	10
Additional Requirements (included in the Action Plan)	--	Required
W-9 Form	--	Required if new contractor
Business Organization Form	--	Required
Litigation or Investigation Disclosure	--	Required
		100 points maximum

SECTION 5 – CONTRACT

5.01 Conditions

Any contract awarded by the IDPH shall include specific contract provisions and the IDPH General Conditions effective January 1, 2013 Revised 1.10.13 as posted on the IDPH Web page www.idph.state.ia.us under *Funding Opportunities link*. Refer to **Appendix I** for the Draft Contract Template. The Draft Contract Template included is for reference only and is subject to change at the sole discretion of IDPH.

The contract terms contained in the general conditions are not intended to be a complete listing of all contract terms, but are provided only to enable applicants to better evaluate the costs associated with the RFP and the potential resulting contract. Applicants should plan to include such terms in any contract awarded as a result of the RFP. All costs associated with complying with these requirements should be included in the proposal. If the contract exceeds $ 500,000, or if the contract together with other contracts awarded to the Contractor by the IDPH exceeds $500,000 in the aggregate, the Contractor shall be required to comply with the provisions of Iowa Code chapter 8F.

IDPH requires Contractors to link with the local board of health when providing services supported by IDPH funding. In particular, Contractors are expected to assist the local board of health in carrying out the three core functions of public health as defined in 641 IAC 77.3 (137): assessment, policy development and assurance. Examples of linking with the board of health include, but are not limited to:

- Provide environmental and/or health data to the local board of health for the purposes of, and provide assistance in, assessing and analyzing the health status of the community.
- Submit reports to the local board of health on the effectiveness, accessibility, and quality of services provided.
- Include the local board of health in establishing policies and plans associated with the services provided. This can be accomplished by establishing a liaison between the contractor and the board of health or by attending regular meetings of the board of health.
- Educate the local board of health about the services provided and work with the board to identify target populations in need of the services provided.
- Be active in the Community Health Needs Assessment and Health Improvement Plan process.
- Provide the board of health expert input on the services provided and how those services relate to; the health priorities of the community and health improvement plans to address those priorities.

The contractor is expected to provide documentation of linkage efforts if requested by IDPH.

Results of the review process or changes in federal or state law may require additions or changes in final contract conditions requirements.

5.02 Incorporation of Documents

The RFP, any amendments and written responses to applicant questions, and the proposal submitted in response to the RFP form a part of the contract. The parties are obligated to perform all services described in the RFP and proposal unless the contract specifically directs otherwise.

5.03 Order of Priority

In the event of a conflict between the contract, the RFP and the proposal, the conflict shall be resolved according to the following priorities, ranked in descending order:

1. The Contract;
2. The RFP;
3. The Proposal.

5.04 Contractual Payments

The IDPH provides contractual payments on the basis of reimbursement of expenses in accordance with Iowa Code 8A.514.

IDPH will withhold ten (10) percent of each month's invoice pending successful applicant's reporting of the required match for reported contract expenditures. Funds will be released as match requirement is met.

SECTION 6 – APPENDICES

Appendices are posted in a separate zipped file on the IDPH Web page under *Funding Opportunities link:* www.idph.state.ia.us.

- Appendix I Draft Contract Template
- Appendix II Draft Scoring Tool
- Appendix III Pre-submission Checklist
- Appendix IV U.S. Department of Health and Human Services (HHS), Centers for Disease Control and Prevention's (CDC) publication titled *Public Health Preparedness Capabilities: National Standards for State and Local Planning*. March 2011
- Appendix V HHS, Office of the Assistant Secretary for Preparedness and Response's (ASPR) publication titled *Healthcare Preparedness Capabilities: National Guidance for Healthcare System Preparedness.* January 2012

SECTION 7 – ATTACHMENTS

Attachments are posted in a separate zipped file on the IDPH Web page under *Funding Opportunities:* www.idph.state.ia.us. Applicants must download these forms and include them in the proposal as outlined in Section 3 of this RFP.

- Attachment A Cover Page and Key Personnel
- Attachment B Minority Impact Statement
- Attachment C Coalition Demographics Statement
- Attachment D Action Plan (includes Budget tab)
- Attachment E W9 form (optional) Separate Document (only necessary for entities that are not currently contractors of IDPH).
- Attachment F Business Organization Form (only necessary for entities that do not currently have this in SharePoint. If this form is currently in SharePoint it will need to be verified and updated as needed)