

BEFORE THE BOARD OF SOCIAL WORK
OF THE STATE OF IOWA

IN THE MATTER OF)	
THE APPLICATION)	
FOR LICENSURE OF)	PRELIMINARY NOTICE OF
)	INTENT TO DENY LICENSURE
)	
LISA A. BAILEY)	

TO: Lisa A. Bailey

YOU ARE HEREBY NOTIFIED that on December 3, 2012, the Iowa Board of Social Work voted to deny your application for licensure at the independent level. The intent to deny licensure is based upon the following:

LEGAL GROUNDS

Iowa Code 154C.1 states in part:

Master social workers are qualified to perform the practice of bachelor social workers and provide psychosocial assessment, diagnosis, and treatment, including but not limited to performance of psychosocial histories, problem identification and evaluation of symptoms and behavior, assessment of psychosocial and behavioral strengths and weaknesses, effects of the environment on behavior, psychosocial therapy with individual, couples, families, and groups, establishment of treating goals and monitoring progress, differential treatment planning, and interdisciplinary consultation and collaboration. [Iowa Code 154C.1(3)(b)]

Independent social workers are qualified to perform the practice of master social workers as a private practice. [Iowa Code 154C.1(3)(c)]

“Supervision” means the direction of social work practice in face-to-face sessions. [Iowa Code 154C.1(5)]

Iowa Code 154C.3 states in part:

An applicant for a license as an independent social worker shall present evidence satisfactory to the board that the applicant will conduct all professional activities as a social worker in accordance with standards for professional conduct established by the board and has engaged in the practice of social work, under supervision, for at least two years as a full-time employee or for four thousand hours prior to taking the examination given by the board. [Iowa Code 154C.3(1)(c)(3),(4)]

645 IAC 280.3(7) states in part:

An applicant for a license as an independent social worker shall have met the requirements for supervision pursuant to 645—280.6. [645 IAC 280.3(7)]

645 IAC 280.6(1) states in part:

The supervised professional practice shall be 4,000 hours of post-master's social work degree practice at the master's level performing psychosocial assessment, diagnosis and treatment over a minimum two-year and maximum six-year period. [645 IAC 280.6(1)(b)]

The supervised professional practice shall have at least 110 hours of supervision which shall be equitably distributed throughout a minimum of a two-year period. [645 IAC 280.6(1)(c)]

645 IAC 280.6(4) states in part:

To meet the requirements of the supervised professional practice, the supervisee must have the following documentation for supervision of independent practice: (2) At the end of supervision, the supervisee shall have any and all supervisors complete a supervision report sheet provided by the board of social work. This report shall be answered in full and signed by both the supervisor and supervisee. This report shall be submitted to the board for review and approval prior to the board's approval of the supervisee to sit for the clinical-level examination. [645 IAC 280.6(4)(b)(2)]

FACTUAL CIRCUMSTANCES

The Iowa Board of Social Work received an application for independent level licensure from Ms. Bailey on October 29, 2012. The Board met on December 3, 2012 and reviewed the application. A review of the application indicates the following:

1. Ms. Bailey was supervised by two licensed independent level social workers, David Fox and Andrew Eastwood.
2. David Fox provided supervision from June 1, 2010 thru February 9, 2011, and again from June 27, 2011 thru July 27, 2012. During the periods of supervision Mr. Fox attested he provided 122 hours of direct supervision.
3. Mr. Fox answered "no" to the following questions on the supervision report: "Do you recommend to the Board of Social Work that this applicant/supervisee be allowed to sit for the ASWB clinical level examination, and be licensed at the independent level, thus allowing him/her to provide mental health services

- independently in private practice?” and “Has the supervisee, to your knowledge, adhered to the board’s administrative rules, including the rules of conduct?”
4. In explanation of his “no” responses, Mr. Fox reported to the Board he had concerns in regard to the applicant’s boundaries, professional judgment and effective use of supervision.
 5. Andrew Eastwood provided supervision from February 22, 2011 thru June 27, 2011. During these periods of supervision Mr. Eastwood attested he provided 7 hours of direct supervision.
 6. Mr. Eastwood answered “no” to the following questions on the supervision report: “Do you recommend to the Board of Social Work that this applicant/supervisee be allowed to sit for the ASWB clinical level examination, and be licensed at the independent level, thus allowing him/her to provide mental health services independently in private practice?” and “Has the supervisee, to your knowledge, adhered to the board’s administrative rules, including the rules of conduct?”
 7. In explanation of his “no” responses, Mr. Eastwood indicated he had concerns in regard to the applicant’s professional judgment, acceptance and use of supervision, and ability to maintain professional boundaries.
 8. The Board voted to deny licensure to Ms. Bailey based on its determination that the periods of supervision completed with Mr. Fox and Mr. Eastwood could not be counted towards completion of the required supervised professional practice and thus Ms. Bailey does not have the requisite number of hours for her supervised professional practice.

NOTICE OF APPEAL RIGHTS

Pursuant to the provisions of 645 IAC 11.32, you may appeal the Board’s preliminary notice of denial of license by serving a notice of appeal and request for hearing upon the board not more than thirty days following the date of mailing of this notice. The request for hearing shall specifically describe the facts to be contested and determined at the hearing. The hearing shall be held pursuant to the process outlined at 645 IAC Chapter 11. If an appeal is not filed within this time period, this preliminary notice of intent to deny licensure will become final.

Dated: December 31, 2012



Beth Harms, Chairperson
Iowa Board of Social Work

Copies to:

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Lisa Bailey