# BEFORE IOWA PLUMBING AND MECHANICAL SYSTEMS BOARD

)	NO. PM20130084
)	NOTICE OF HEARING AND STATEMENT OF CHARGES
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COMES NOW the Iowa Plumbing and Mechanical Systems Board (Board) and hereby files this Notice of Hearing and Statement of Charges pursuant to Iowa Code sections 17A.12(2), 17A.18(3), and 641 Iowa Administrative Code (IAC) 33.6. Respondent holds an Iowa Contractor license number 50119. Respondent's license is active and will expire on January 16, 2016.

## A. TIME, PLACE AND NATURE OF HEARING

Hearing. A disciplinary contested case hearing shall be held on May 21, 2014, before the Plumbing and Mechanical Systems Board. The hearing shall begin at 10:00 a.m., and shall be located in State Hygienic Lab, 2220 S. Ankeny Blvd., Ankeny, Iowa, Iowa Lab Facility rooms 207 and 208.

Answer. Within twenty (20) days of the date you are served this Notice of Hearing and Statement of Charges you are required by 641 IAC 33.13 to file an Answer. The Answer should specifically admit, deny, or otherwise answer all allegations contained in sections C and D of this Notice of Hearing and Statement of Charges. Pleadings shall be filed with the Board at the following address: Iowa Plumbing and Mechanical Systems Board, Lucas State Office Building, 321 East 12<sup>th</sup> Street, Des Moines, Iowa, 50319.

<u>Presiding Officer</u>. The Board shall serve as presiding officer, but the Board may request an Administrative Law Judge make initial rulings on pre-hearing matters, and be present to assist

Wilson Heating and Air 2 of 4 and advise the board at hearing.

Hearing Procedures. The procedural rules governing the conduct of the hearing are found at 641 IAC chapter 33. At hearing, you may appear personally or be represented by legal counsel at your own expense. You will be allowed the opportunity to respond to the charges against you, to produce evidence on your behalf on issues of material fact, cross-examine witnesses present at the hearing, and examine and respond to any documents introduced at hearing. If you need to request an alternative time or date for hearing, you must comply with the requirements of 641 IAC 33.22. The hearing may be open to the public or closed to the public at your discretion.

<u>Pre-hearing Conference</u>. Any party may request a pre-hearing conference to discuss evidentiary issues related to the hearing. The Board's rules regarding pre-hearing conferences are contained at 641 IAC 33.21.

Prosecution. The Office of the Attorney General is responsible for representing the public interest (the State) in this proceeding. Copies of pleadings should be provided to counsel for the State at the following address: September Lau, Assistant Attorney General, Iowa Attorney General's Office, 2nd Floor, Hoover State Office Building, Des Moines, Iowa 50319.

Communications. You may not contact board members in any manner, including by phone, letter, or e-mail, about this Notice of Hearing and Statement of Charges. Board members may only receive information about the case when all parties have notice and an opportunity to participate, such as at the hearing or in pleadings you file with the Board office and serve upon all parties in the case.

## B. LEGAL AUTHORITY AND JURISDICTION

<u>Jurisdiction</u>. The Board has jurisdiction in this matter pursuant to Iowa Code chapters 105 and 272C.

<u>Legal Authority</u>. If any of the allegations against you are founded, the Board has authority to take disciplinary action against you under Iowa Code chapters 17A, 105, and 272C and 641 IAC chapter 32.

<u>Default</u>. If you fail to appear at the hearing, the Board may enter a default decision or proceed with the hearing and render a decision in your absence, in accordance with Iowa Code section 17A.12(3) and 641 IAC 33.26.

### C. SECTIONS OF STATUTES AND RULES INVOLVED

#### Count I

Respondent is charged pursuant to Iowa Code section 105.22(10) and 641 IAC 32.2(18) with violation of a law or regulation of this state.

#### Count II

Respondent is charged pursuant to Iowa Code section 105.22(10) and 641 IAC 32.2(37) with practicing as a contractor without valid bonding and insurance.

## D. FACTUAL CIRCUMSTANCES

- 1. Pursuant to Iowa Code section 105.19 and 641 IAC 23.3(3) and 23.3(4) contractors licensed by the Board are required to maintain a surety bond and liability insurance.
- 2. On or about July 9, 2013, Respondent's certificate of liability insurance expired.

  To date, Respondent has not supplied the Board documentation of current liability insurance.

### E. SETTLEMENT

This matter may be resolved by settlement agreement. The procedural rules governing the Board's settlement process are found at 641 IAC 33.23. If you are interested in pursuing settlement of this matter, please contact September Lau, Assistant Attorney General, at (515) 281-7175.

### F. PROBABLE CAUSE FINDING

On this 22<sup>nd</sup> day of January, 2014, the Iowa Plumbing and Mechanical Systems Board found probable cause to file this Notice of Hearing and Statement of Charges.

Chair, Iowa Plumbing and Mechanical Systems Board

Copies to:

September Lau Assistant Attorney General Iowa Attorney General's Office 2<sup>nd</sup> Floor Hoover Bldg. Des Moines, IA 50319

Wilson Heating and Air Address on File