

BEFORE IOWA PLUMBING AND MECHANICAL SYSTEMS BOARD

IN THE MATTER OF)	NO. PM20120020
)	
Brian E. Waterbeck)	AMENDED NOTICE OF HEARING
)	AND STATEMENT OF CHARGES
Respondent.)	

COMES NOW the Iowa Plumbing and Mechanical Systems Board (Board) and hereby files this Notice of Hearing and Statement of Charges pursuant to Iowa Code sections 17A.12(2), 17A.18(3), and 641 Iowa Administrative Code (IAC) 33.6. Respondent was issued an Iowa journeyperson HVAC license number 12038 on November 18, 2009. Respondent's license is inactive, having expired on November 18, 2011.

A. TIME, PLACE AND NATURE OF HEARING

Hearing. A disciplinary contested case hearing shall be held on March 20, 2013 before the Plumbing and Mechanical Systems Board. The hearing shall begin at 9:30 a.m. and shall be located in State Hygienic Lab, DMACC, 2220 S. Ankeny Blvd., Ankeny, Iowa, Iowa Lab Facility Rooms 207 & 208.

Answer. Within twenty (20) days of the date you are served this Notice of Hearing and Statement of Charges you are required by 641 IAC 33.13 to file an Answer. The Answer should specifically admit, deny, or otherwise answer all allegations contained in sections C and D. of this Notice of Hearing and Statement of Charges. Pleadings shall be filed with the Board at the following address: Iowa Plumbing and Mechanical Systems Board, Lucas State Office Building, 321 East 12th Street, Des Moines, Iowa, 50319.

Presiding Officer. The Board shall serve as presiding officer, but the Board may request an Administrative Law Judge make initial rulings on pre-hearing matters, and be present to assist

and advise the board at hearing.

Hearing Procedures. The procedural rules governing the conduct of the hearing are found at 641 IAC chapter 33. At hearing, you may appear personally or be represented by legal counsel at your own expense. You will be allowed the opportunity to respond to the charges against you, to produce evidence on your behalf on issues of material fact, cross-examine witnesses present at the hearing, and examine and respond to any documents introduced at hearing. If you need to request an alternative time or date for hearing, you must comply with the requirements of 641 IAC 33.22. The hearing may be open to the public or closed to the public at your discretion.

Pre-hearing Conference. Any party may request a pre-hearing conference to discuss evidentiary issues related to the hearing. The Board's rules regarding pre-hearing conferences are contained at 641 IAC 33.21.

Prosecution. The Office of the Attorney General is responsible for representing the public interest (the State) in this proceeding. Copies of pleadings should be provided to counsel for the State at the following address: September Lau, Assistant Attorney General, Iowa Attorney General's Office, 2nd Floor, Hoover State Office Building, Des Moines, Iowa 50319.

Communications. **You may not contact board members in any manner**, including by phone, letter, or e-mail, about this Notice of Hearing and Statement of Charges. Board members may only receive information about the case when all parties have notice and an opportunity to participate, such as at the hearing or in pleadings you file with the Board office and serve upon all parties in the case.

B. LEGAL AUTHORITY AND JURISDICTION

Jurisdiction. The Board has jurisdiction in this matter pursuant to Iowa Code chapters 105 and 272C.

Legal Authority. If any of the allegations against you are founded; the Board has authority to take disciplinary action against you under Iowa Code chapters 17A, 105, and 272C and 641 IAC chapter 32.

Default If you fail to appear at the hearing, the Board may enter a default decision or proceed with the hearing and render a decision in your absence, in accordance with Iowa Code section 17A.12(3) and 641 IAC 33.26.

C. SECTIONS OF STATUTES AND RULES INVOLVED

Count I

Respondent is charged pursuant to Iowa Code section 105.22(10) and 641 IAC 32.2(9) with violation of a Board rule, in that he performed 105-covered work with a lapsed license in violation of 641 IAC 29.7(2)(c).

Count II

Respondent is charged pursuant to Iowa Code section 105.22(10) and 641 IAC 32.2(29) with representing himself as a licensed tradesperson while holding an inactive license.

D. FACTUAL CIRCUMSTANCES

1. Respondent obtained a master HVAC and a master plumbing license on or about August 24, 2009. Both of those licenses expired on August 24, 2011. They have not been renewed to date.

2. On March 28, 2012, Respondent performed HVAC work at

3. Between the timeframe of January 10 and May 2, 2012, Respondent performed HVAC work at I .

E. SETTLEMENT

This matter may be resolved by settlement agreement. The procedural rules governing the Board's settlement process are found at 641 IAC 33.23. If you are interested in pursuing settlement of this matter, please contact September Lau, Assistant Attorney General, at (515) 281-7175.

F. PROBABLE CAUSE FINDING

On this 19th day of June, 2011, the Iowa Plumbing and Mechanical Systems Board found probable cause to file this Notice of Hearing and Statement of Charges.